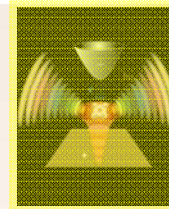




中国科学技术大学  
University of Science and Technology of China



IEEE EDS MQ WIMNACT, EDS Japan Chapter  
Tokyo Institute of Technology, Japan, Feb. 10, 2012

# Electrically driven single molecular fluorescence by STM

Zhenchao Dong

University of Science and Technology of China  
Hefei, China

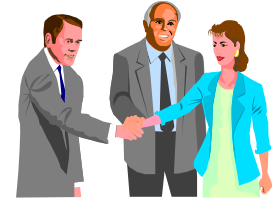
Email: [zcdong@ustc.edu.cn](mailto:zcdong@ustc.edu.cn)

創寰宇學府  
育天下英才  
嚴濟慈  
一九八八年五月  
題



# Acknowledgements

\$\$\$: MOST, NSFC, CAS



## USTC Hou Research Team

Prof. **Jianguo Hou**, Jinlong Yang, Yi Luo, Zhenyu Zhang, Bing Wang, Xiaoping Wang, Aidi Zhao, Yuan Liao

Ph.D. Students: **Xiaolei Zhang**, Hongying Gao, Luiguo Chen, **Chao Zhang**, Xing Tao, **Yang Zhang**, Rui Zhang, Bo Gao, Feng Geng...



# Quantum control at the molecular scale

单分子取向

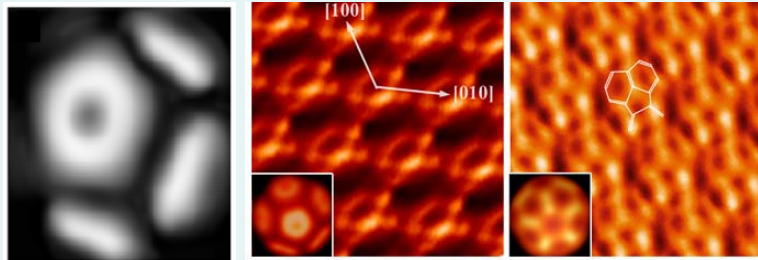
分子笼装结构

分子“手术”

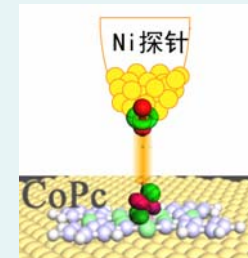
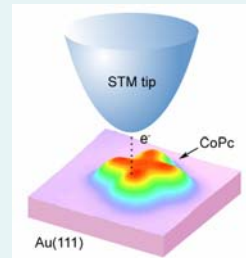
波函数匹配

双功能集成

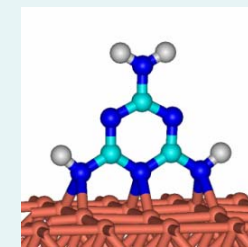
C60分子



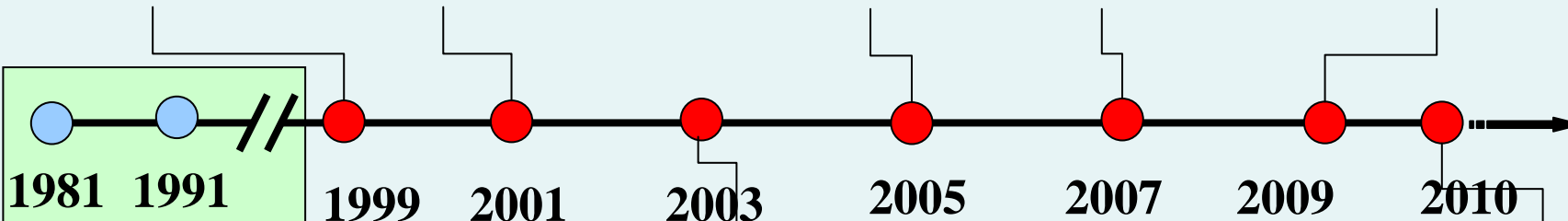
CoPC分子



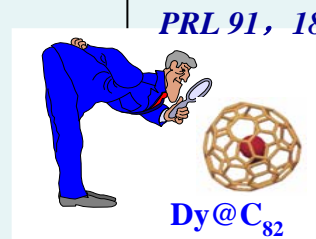
三聚氰胺分子



*PRL*, 83, 3001 (1999) *Nature*, 409, 304 (2001) *Science* 309, 1542 (2005) *PRL* 99, 146803 (2007) *PNAS* 106, 15259 (2009)



1981 1991  
STM发明 (IBM, 1981)  
单原子操纵 (IBM, 1991)

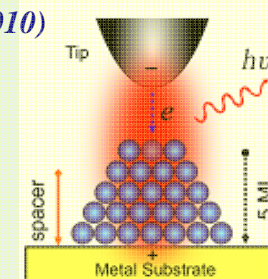


单分子透视技术

*PRL* 91, 185504(2003)

*Nature Photon.* 4, 50 (2010)

分子电致发光



# Single Molecular Electroluminescence (SMEL)

## 单分子电致发光

WHY?

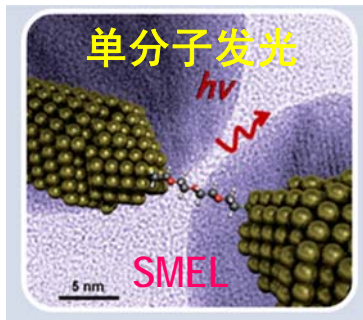
WHAT?

HOW?

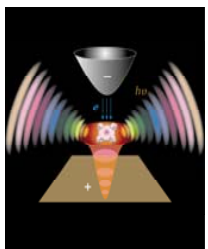
# WHY Single Molecular Electroluminescence (SMEL)?

Energy Sciences  
Interface optoelectronics  
Solar energy,  
Photocatalysis...

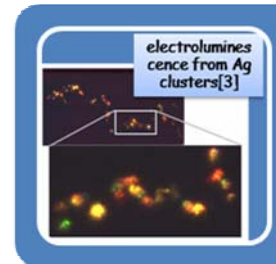
Technical Applications



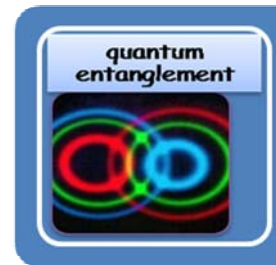
Fundamental Understanding



Nano-Optoelectronic Integration  
**Nanoplasmonics**: Nano-LED, Intrachip interconnect, Switch, Coupler, Amplifier...



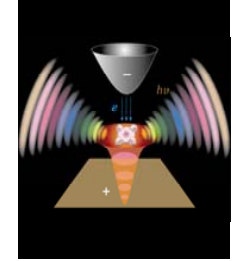
Nanoscale Point-Light Sources  
Ultrahigh resolution imaging & spectroscopy, Coherent control in nano-devices, Spaser...



Quantum Light Sources  
Single photon sources, Entangled photon sources, Quantum information processing

**Electron  $\rightarrow$  Photon: Optoelectronic Conversion at the nanoscale**  
Electron-hole pairs (Excitons): Formation, Transport, Decay  
Quantum Control: Coupling and interconversion among electrons, excitons, plasmons, phonons, photons... Energy transfer...

# Fundamental Understanding



Electron-Hole Pair (Exciton)

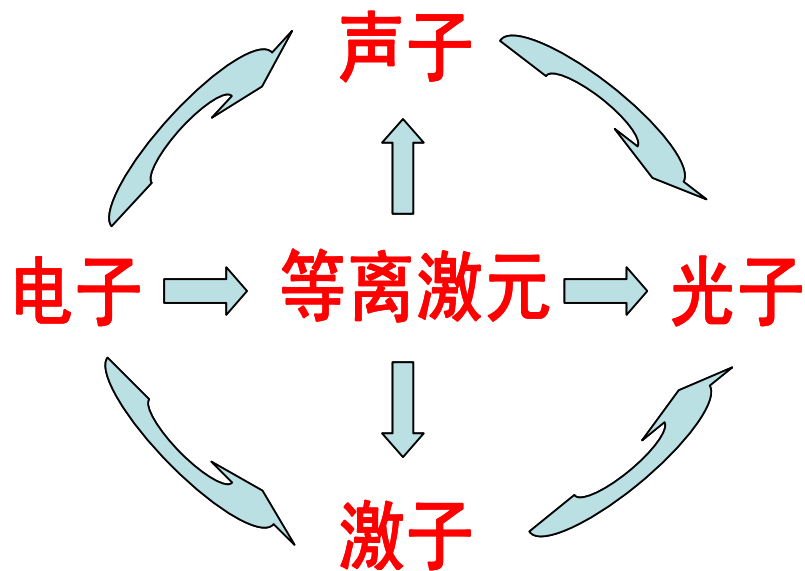


Formation, Transport, Decay

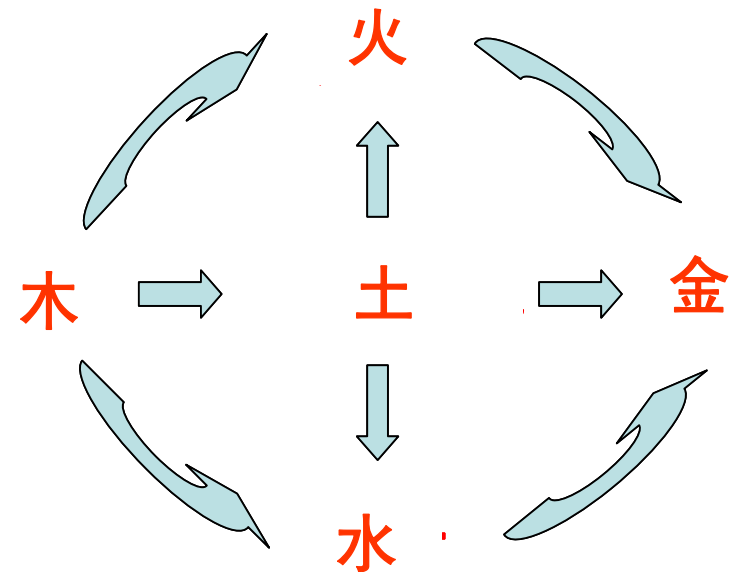
Optoelectronic Conversion at the nanoscale

Molecular Nanoplasmonics

电子 → 光子



Coupling and Inter-conversion among quantum states

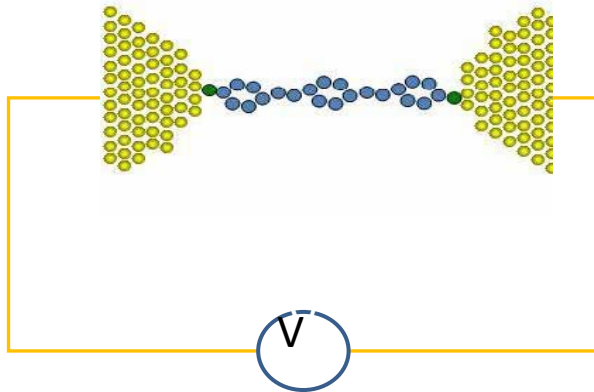


“五行”

Plasmon (“土”) mediated process!

# SMEL Background

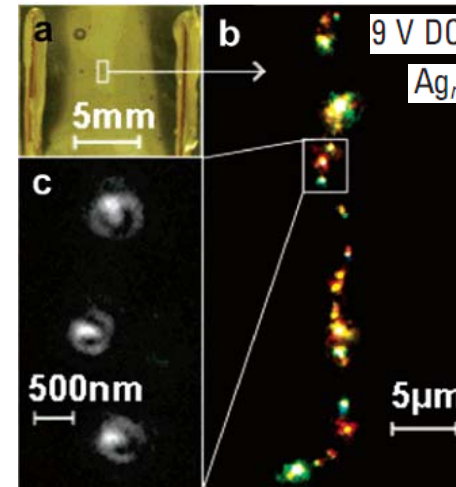
Approach 1:  
Lateral device configuration



## Route 1: Ensemble Excitation

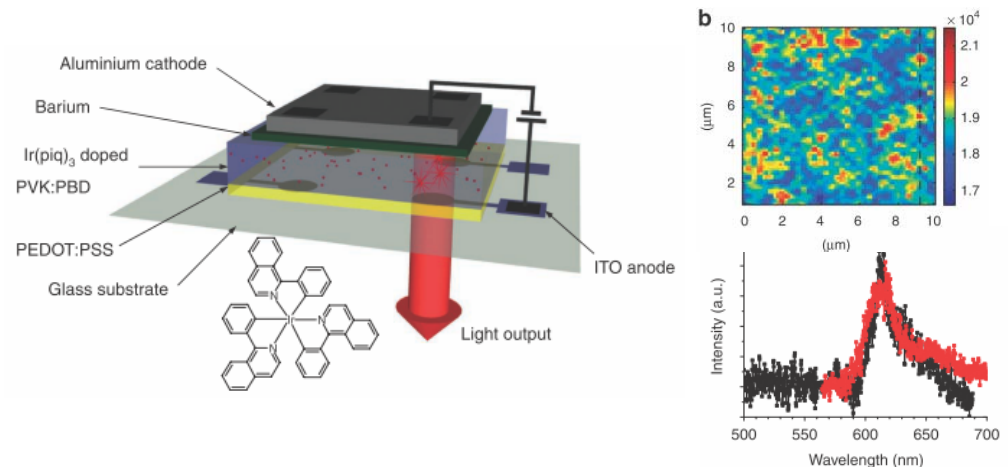
Instead of excitation on a single molecule,  
Ensemble excitation, but  
selected emission detection  
from individual spots

Single? Background Disturb?



Dickson et al: Au, Ag clusters.  
PNAS 2002, PRL 2004

Emission feature: Size-dependent, hard to control

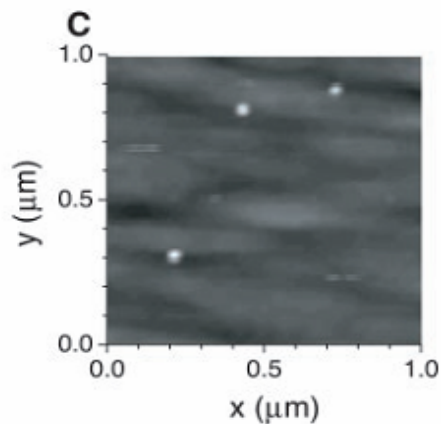
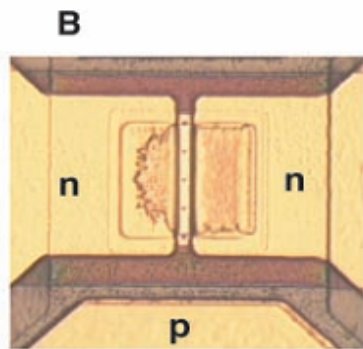
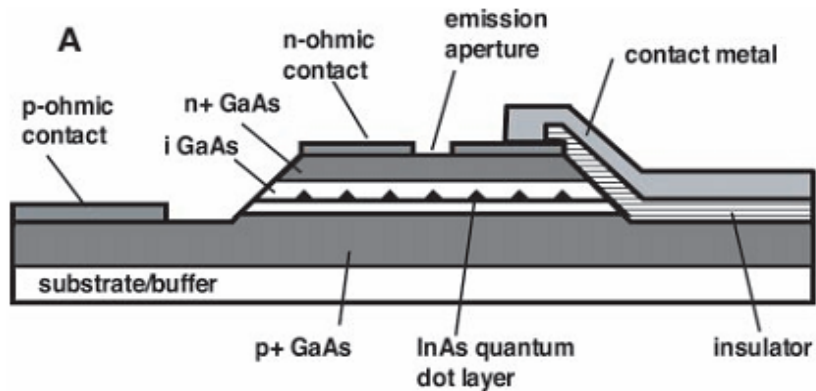


Nothhaft et al.: OLED of Ir(piq)<sub>3</sub>  
Nature Commun. 2012

Single? Matrix Background?

# Background

Single photon emission from a p-i-n diode containing a layer of InAs self-organized quantum dots



## Single QD Electroluminescence

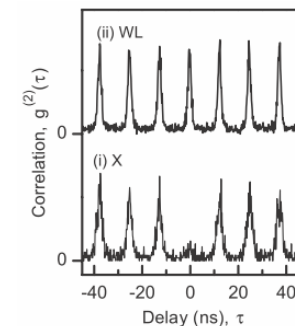
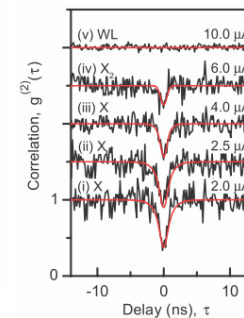
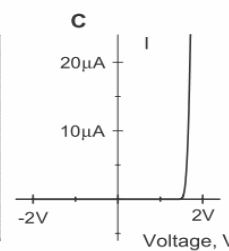
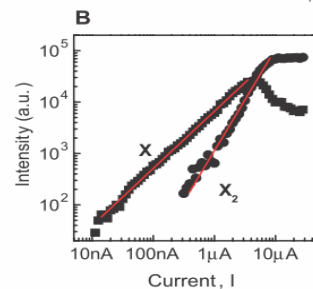
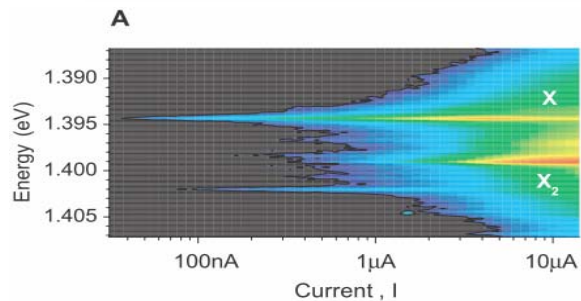
InAs QD: MBE

Sharp Emission!

Yuan, Z. L.; et al.  
Science 2002, 295, 102–105

Emission: Size-dependent

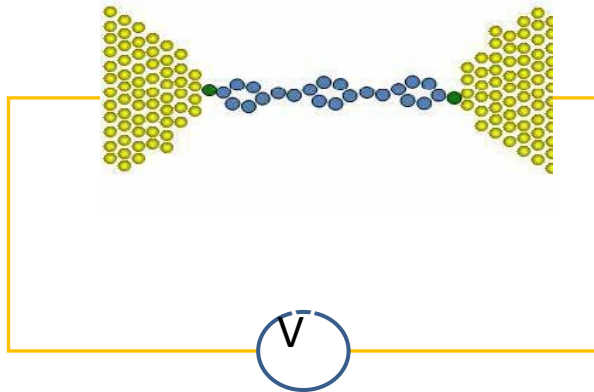
Hard to locate the individual spot





# SMEL Background

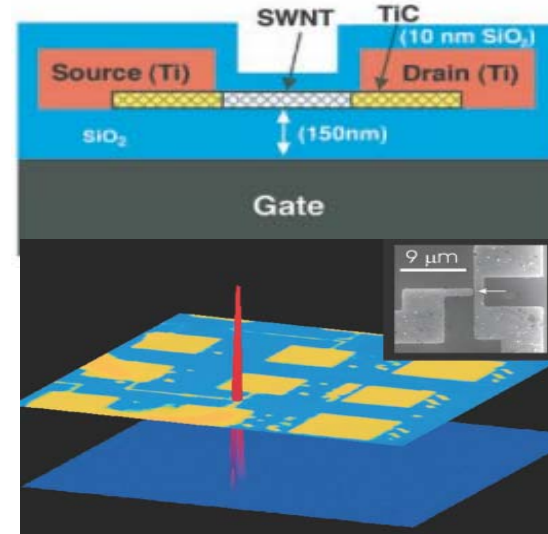
Approach 1:  
Lateral device configuration



Route 2:  
Single-molecule excitation

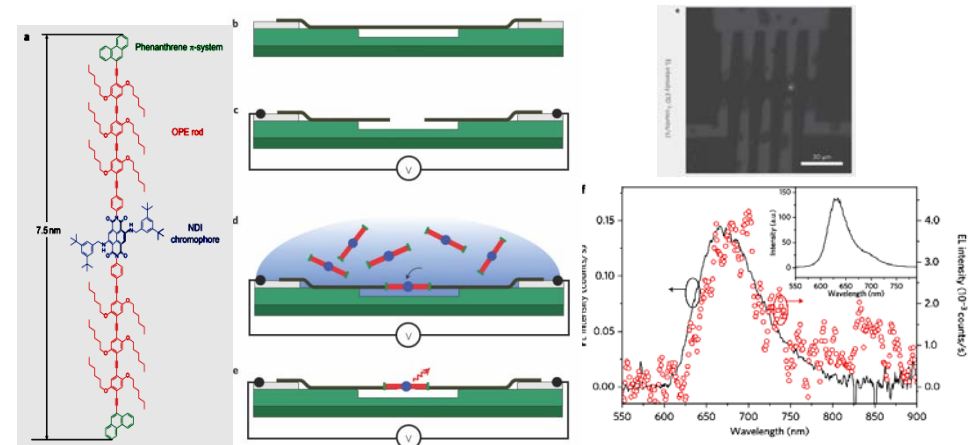
Intensive effort  
but  
limited well-defined reports

HOMO-LUMO of Neutral Molecules?



IBM: Avouris et al: Single CNT.  
Science 2003, 2005

CNT-dependent? Nanoscale? Emission (HOMO-LUMO)?

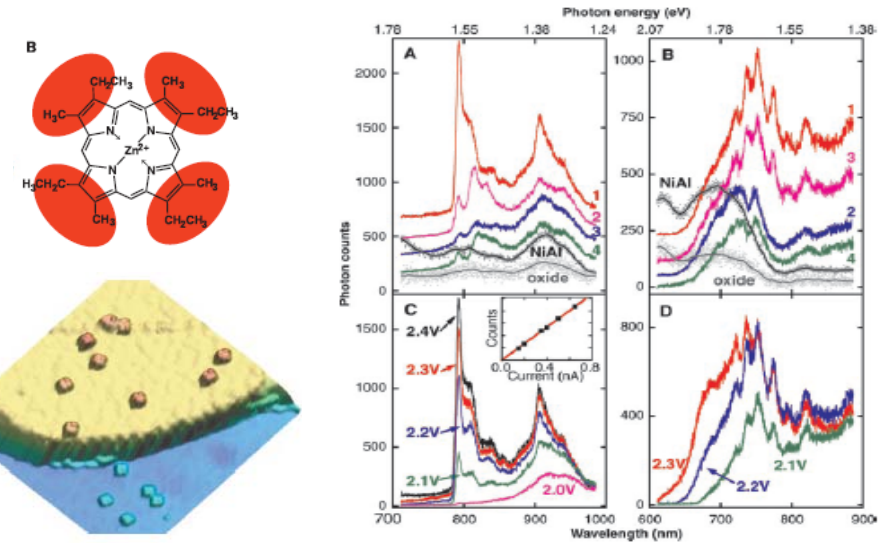
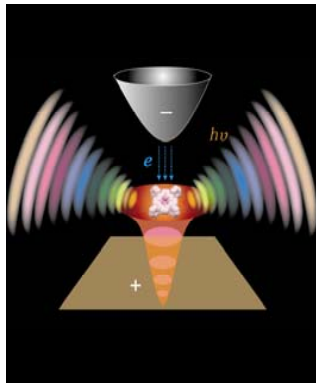


Marquardt et al.: Single Perylene?  
Nature Nanotech. 2010

Single? EL: 1 hour: SNR? Red-shift (HOMO-LUMO)?

# SMEL Background

## Approach 2: Vertical STM configuration

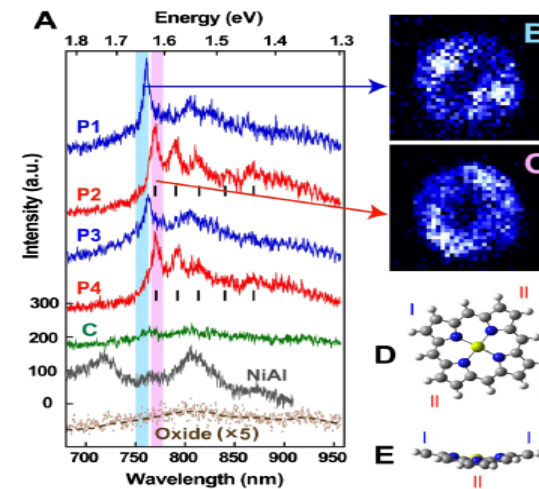


Wilson Ho et al (UC Irvine):  
Zntiol/Al<sub>2</sub>O<sub>3</sub>/NiAl, Science 2003

## Two Claims by Wilson Ho (UC Irvine) Single-molecule electroluminescence

Science: Anionic, LUMO+1 → LUMO?  
PRL: Neutral, HOMO-LUMO?

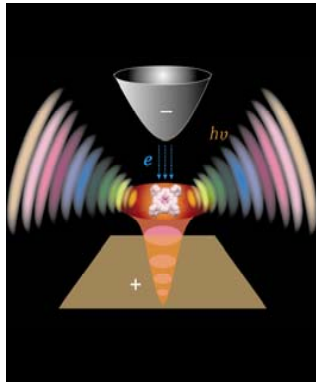
Problems: Site-dependent emission;  
Don't agree with neutral PL data (HOMO-LUMO);  
Bias-dependent energy cutoff.



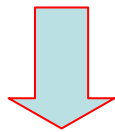
Wilson Ho et al (UC Irvine):  
MgP/Al<sub>2</sub>O<sub>3</sub>/NiAl, PRL 2010

# SMEL Background

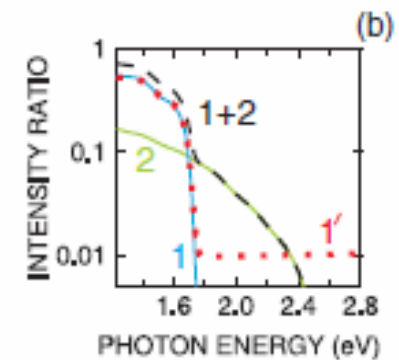
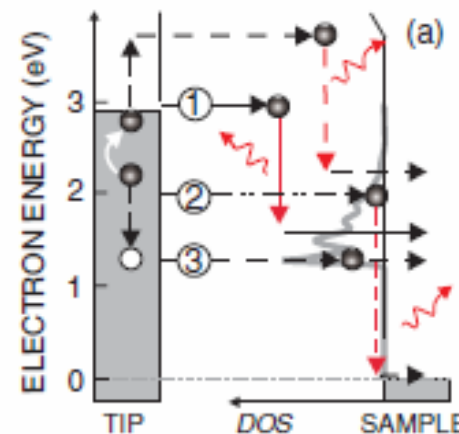
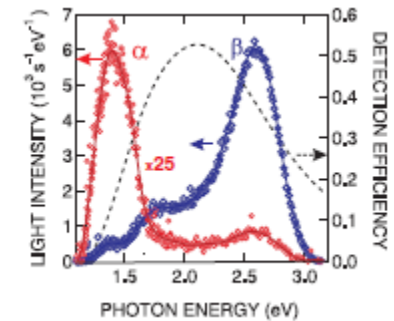
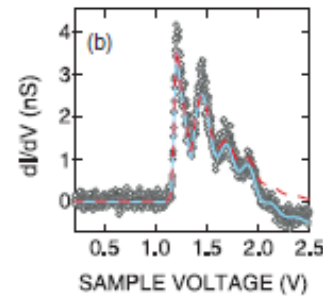
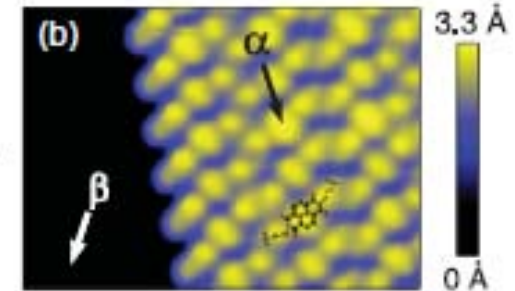
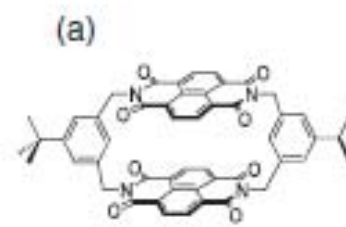
## Approach 2: Vertical STM configuration



Richard Berndt et al (Kiel U):  
NDIC/Ag(111). PRB 2011.



**Plasmonic Emission!**  
with LDOS modulated by  
molecule electronic states,  
**Not intramolecular transition!**



# Single Molecular Electroluminescence (SMEL) Status Summary

Intensive efforts, but still lack of well-defined SMEL with clear molecular origin that is consistent with standard PL data,

namely, fluorescence originating from the intramolecular HOMO-LUMO transition of neutral organic molecules.

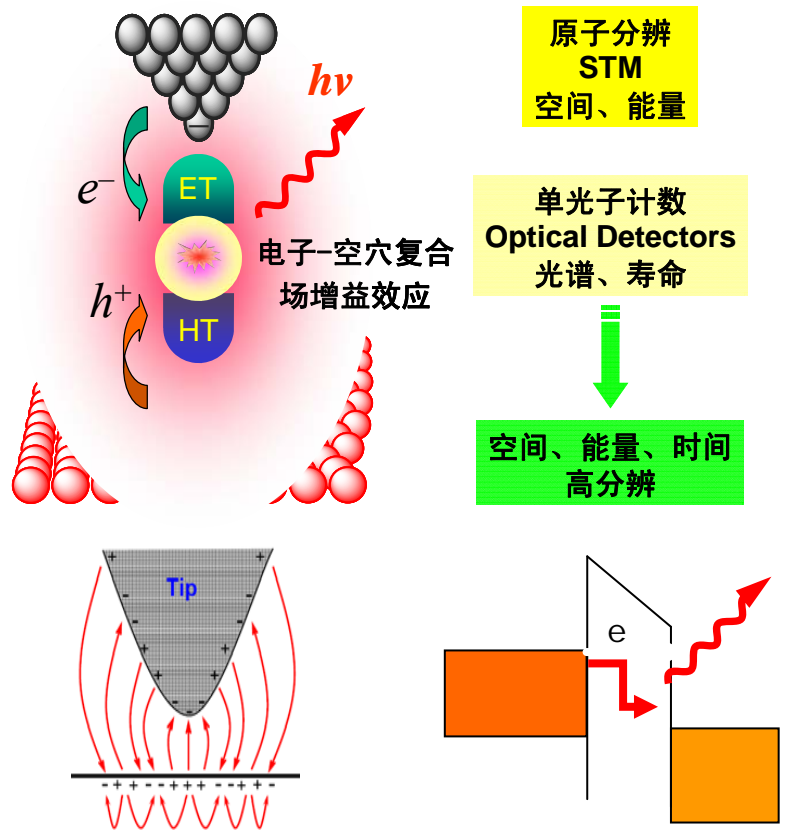
**Reasons:**

Fluorescence quenching  
&

Lack of in-depth understanding on the mechanism

# Single Molecular Electroluminescence by STM

STM-induced luminescence: *Beyond imaging and manipulation*



Electrodynamics,  $G$

Quant. Mech.,  $j$

**Inelastic tunneling (IET)**

$$\frac{d^2 P}{d\Omega d(\hbar\omega)} = \frac{\omega^2}{8\pi^2 \epsilon_0 c^3} \sum_{i,f} \left| \int d^3 r' G(\theta, \vec{r}', \omega) \vec{j}_{if}(\vec{r}', \omega) \right|^2 \delta(E_i - E_f - \hbar\omega)$$

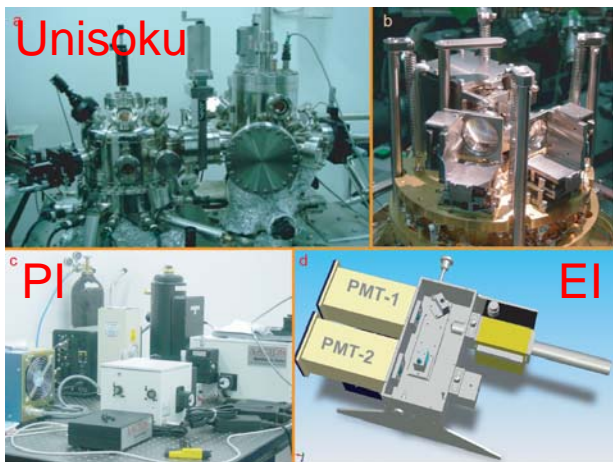
**Tunneling electrons!**  
Highly localized excitation source!

+  
**Nanotip!**  
Nanoantenna effect & Controlled nanoscale gap spacing!

**Strong plasmonic confinement!**  
**Intense field enhancement ( $10^4-10^6$ )!**

*New optoelectronic effect?*  
*New mechanism and understanding?*

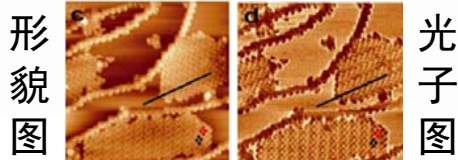
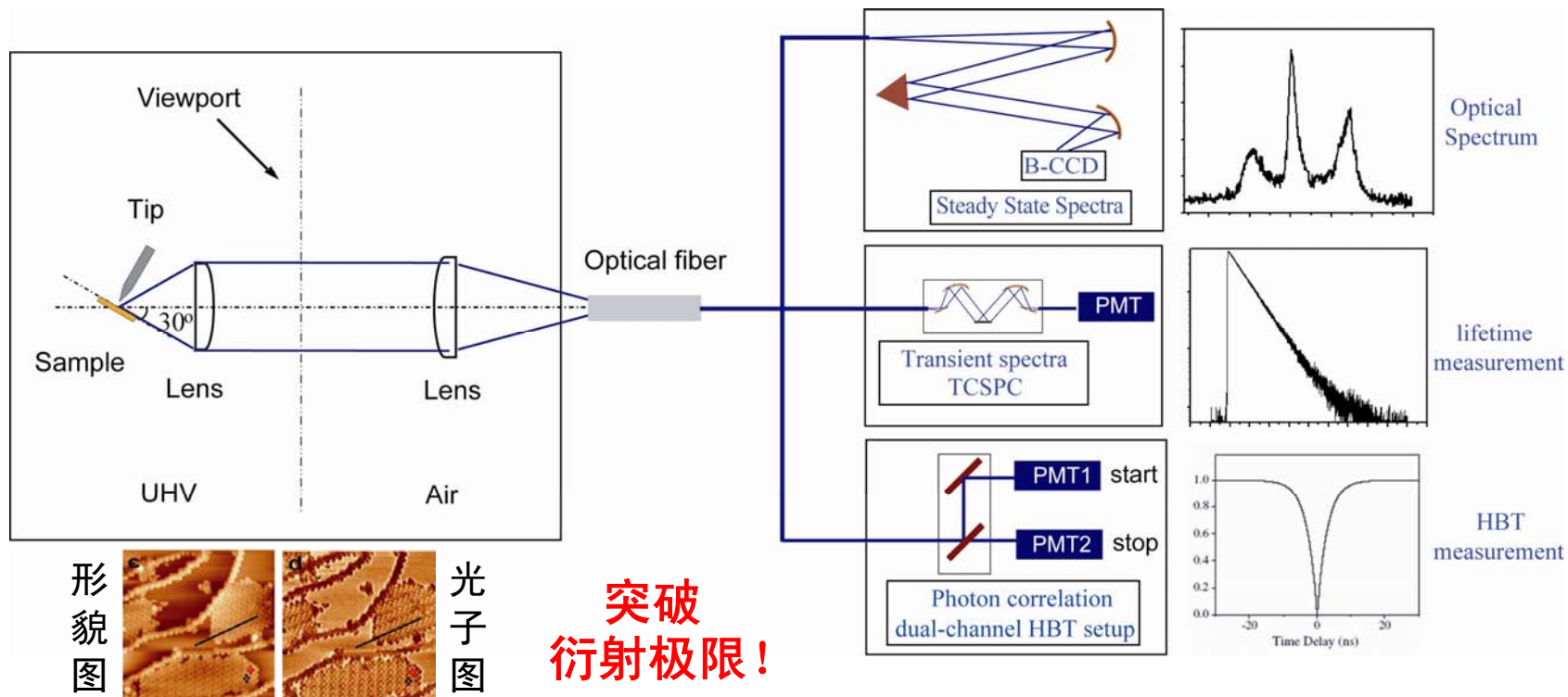
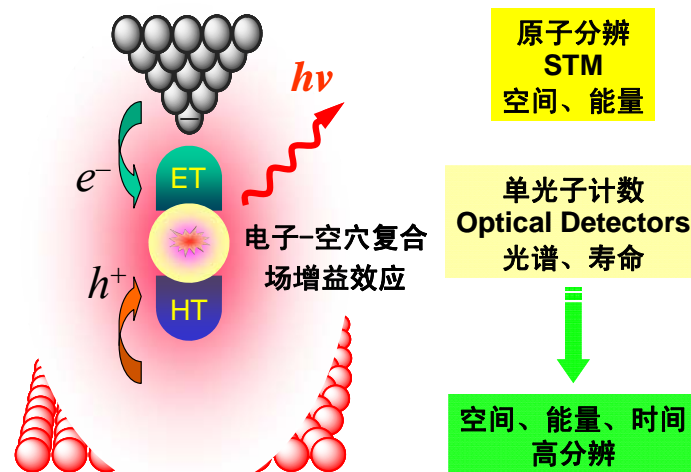
# 设备研制：低温、超高真空STM和光学检测相结合的联用系统

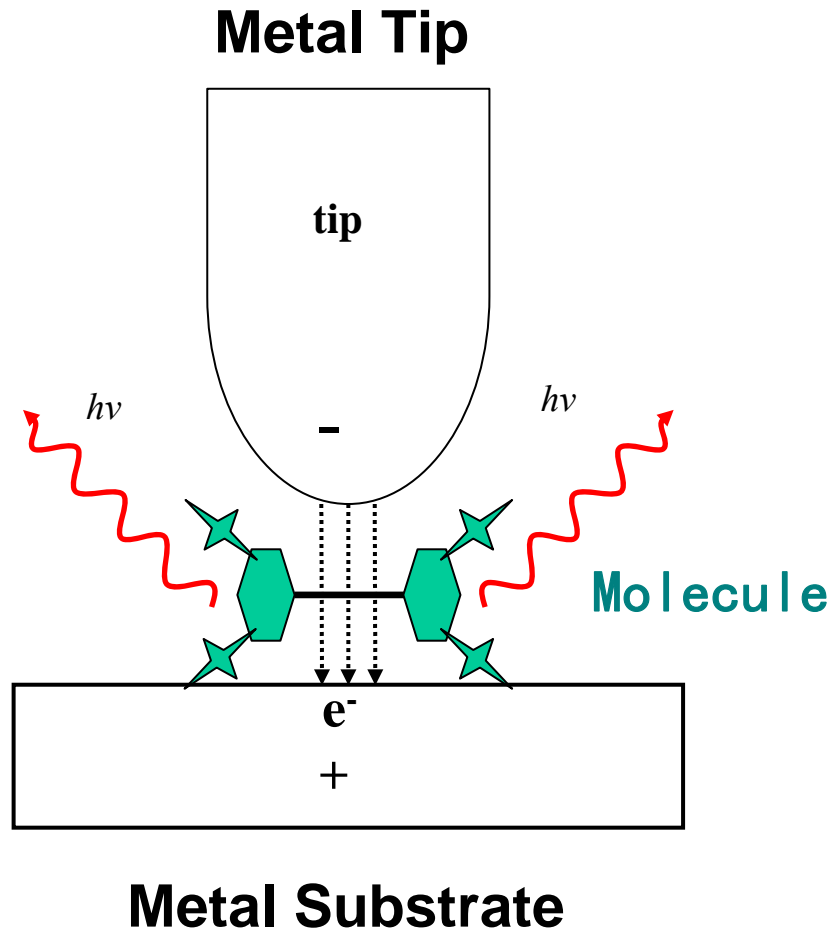


STM 分辨率:  
X, Y 方向 ~0.1 nm  
Z 方向 ~0.01 nm  
能量分辨率: 5 meV

光谱分辨率: 0.2 nm  
寿命分辨率: 8 ps  
光子图分辨率: <1 nm

光子收集效率: 11%  
光子检测灵敏度: 单光子





For a single molecule directly adsorbed on the metal surface,  
**Any emission? What kind of emission?**

# Photon mapping with sub-molecular resolution

*Beyond the diffraction limit*

STM Topograph

Photon Map

Resolution: ~1 nm

Beating  
diffraction limit?

Raleigh criteria:  
 $R \sim \lambda/2NA$

Edge-Enhanced Optical Response

Chen *et al.*, PRL under review.



# Photon mapping with sub-molecular resolution

*Beyond the diffraction limit*

Edge-Enhanced  
Optical Response

**Plasmonic emission**  
**Molecular fluorescence quenched**

# Edge-Enhanced Optical Response of Molecular Islands

Probed by Nanoscale Photon Mapping of Tip Induced Plasmonic Emission

发光功率

$$RP \propto \left| \vec{j} \cdot \vec{P}^{tot} \right|^2$$

非弹性隧穿电流 (IET)

总偶极矩

分子对等离激元发光的  
调制作用：  
改变LDOS → IET 速率

Role of molecules:  
Indirect,  
LDOS modification!

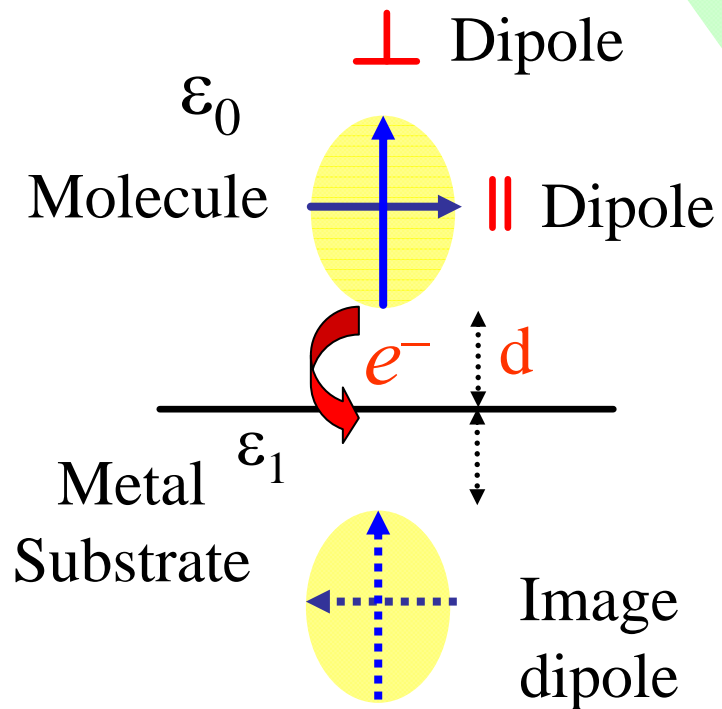
# Challenge:

## Fluorescence Quenching

Route 1: charge transfer

Route 2: dipole quenching

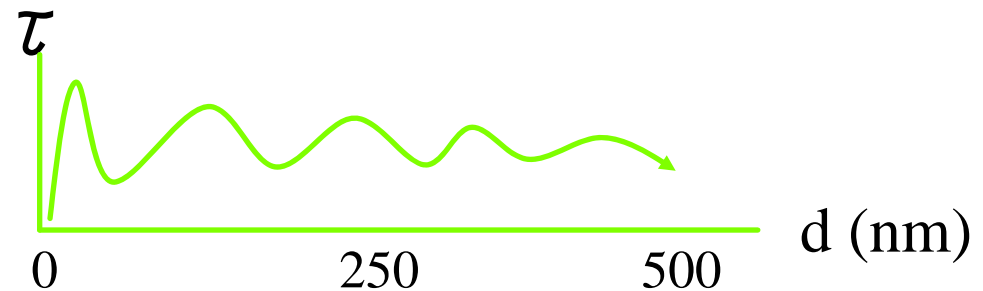
Nonradiative damping



## Energy Transfer near Metal Surfaces

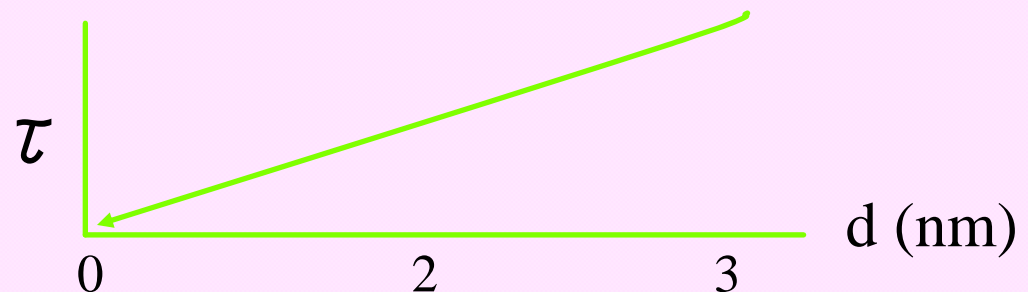
### Classical electromagnetic theory:

For large distances, the surface acting as a mirror to cause electric field interference, the luminescent lifetime **oscillates** as a function of  $d$ .



For small distances ( $<5$  nm), via **dipole field coupling**, the lifetime goes monotonically towards zero.

damping rate =  $1/\tau \propto 1/d^3$ , bulk transfer  
 $1/\tau \propto 1/d^4$ , surface transfer



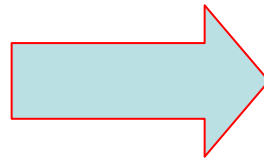
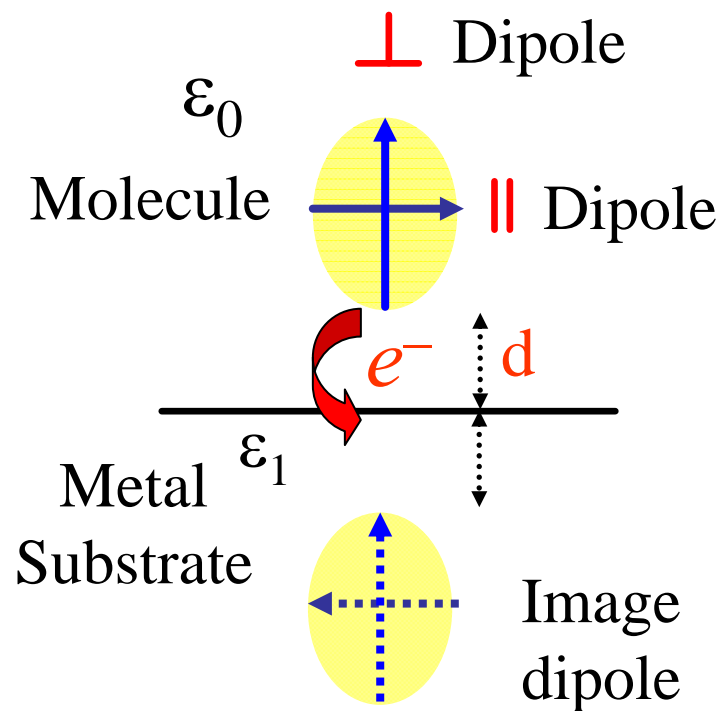
## Challenge:

### Fluorescence Quenching

Route 1: charge transfer

Route 2: dipole quenching

Nonradiative damping



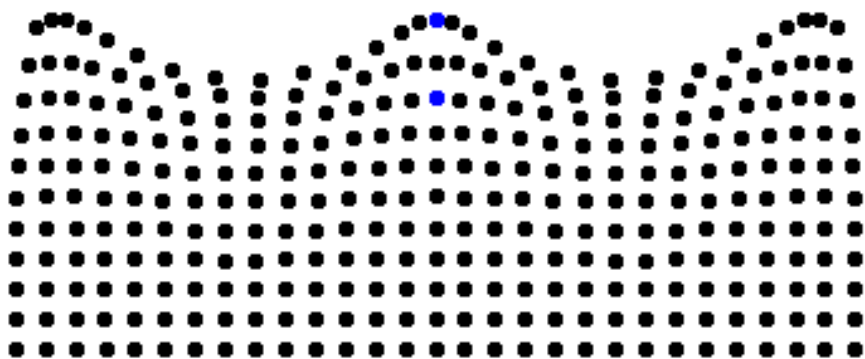
Single Molecular  
Electroluminescence:

Feasible?

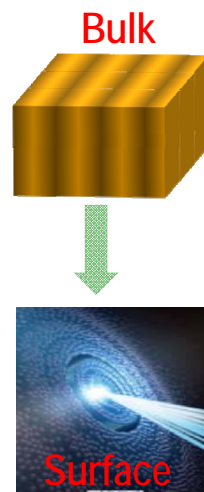
# Surface Plasmon (SP): Plasmon Confined to the Surface

表面等离子激元

Water Wave:

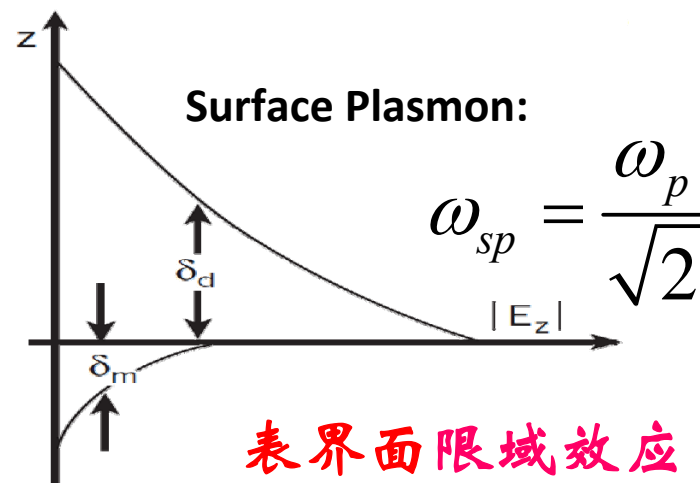
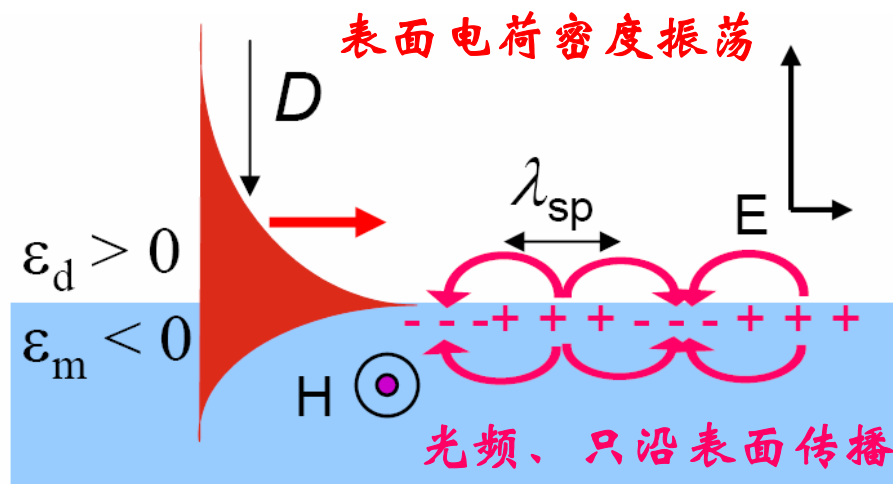


Surface wave of water



R. H. Ritchie, "Plasma Losses by Fast Electrons in Thin Films", Phys. Rev. 106, 874 (1957)

Surface Plasmon Wave:



**Nanoscale Confinement → Strong Field Enhancement!**

# Tuning of Photonic States (PMD) by Resonant Plasmonic Fields

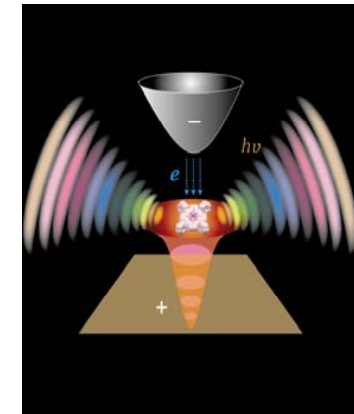
## *Toward single molecular electroluminescence (SMEL)*

$S_F$ : Fluorescence Signal

$$S_F = CKQ \quad C: \text{Collection Efficiency}$$

$K$ : Excitation enhancement

$$K = \frac{|E_{loc}|^2}{|E_{inc}|^2} = \frac{|E_{ind} + E_{inc}|^2}{|E_{inc}|^2}$$



Nanogap effect

$$K \sim 10^{4-6}$$

$Q$ : Quantum efficiency

$$Q = \frac{\Gamma}{\Gamma + k_{nr}}$$

Fermi golden rule:

$$\Gamma_{ij} \propto |M_{ij}|^2 \rho(\nu_{ij})$$

结构                  外场

$\rho(\nu_{ij})$ : Photonic mode density (PMD),  $E_{loc}$ -dependent!

One possible approach to SMEL:

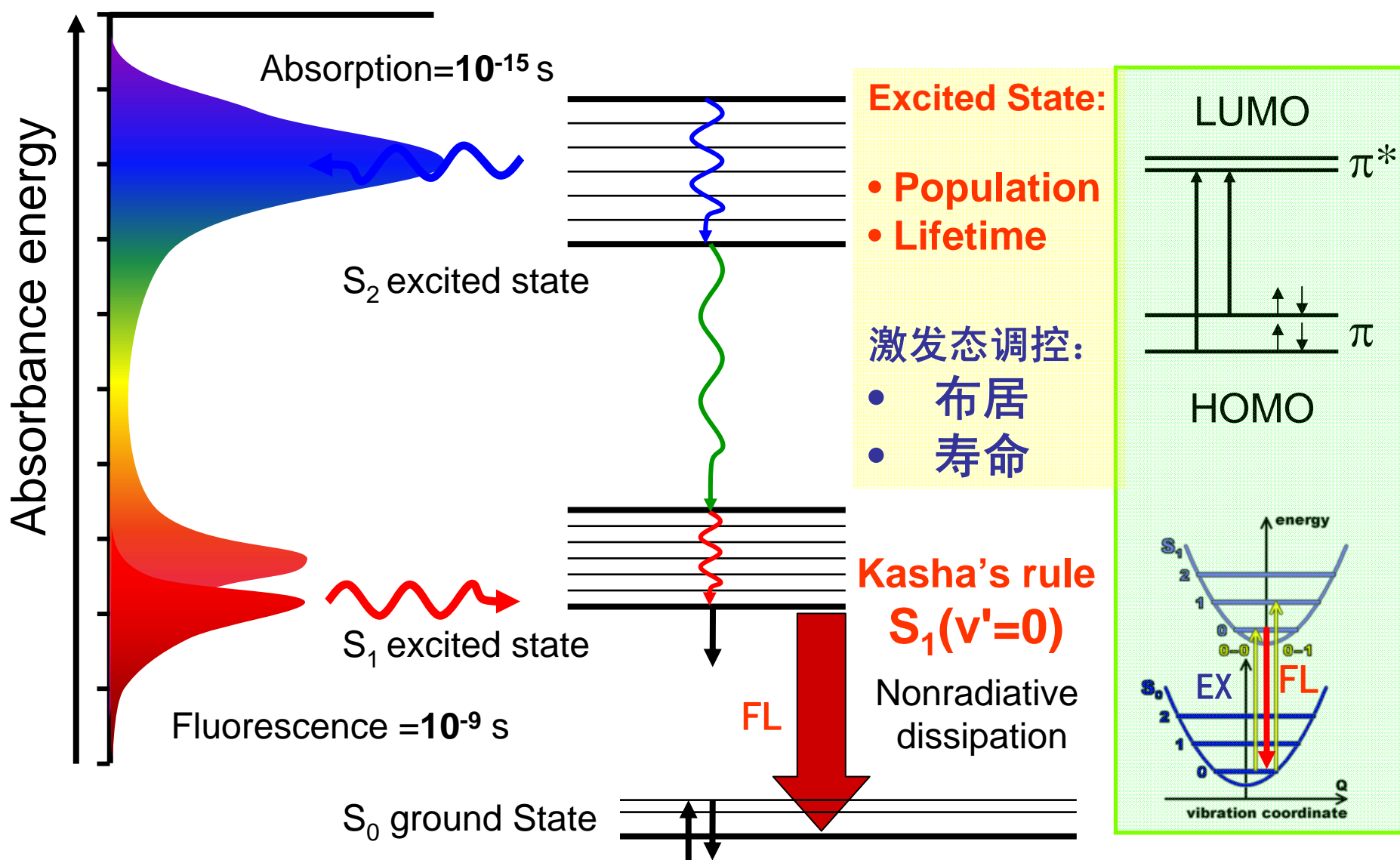
Play with the competition  
between  
Quenching and Enhancement

Tuning of quantum states:

$$H \Psi(r, t) = \underline{E} \underline{\Psi(r, t)}$$

↓   ↓   ↓  
能量 空间 时间

# Excitation and Emission of Molecules



Fluorescence:  $S_1 \rightarrow S_0$

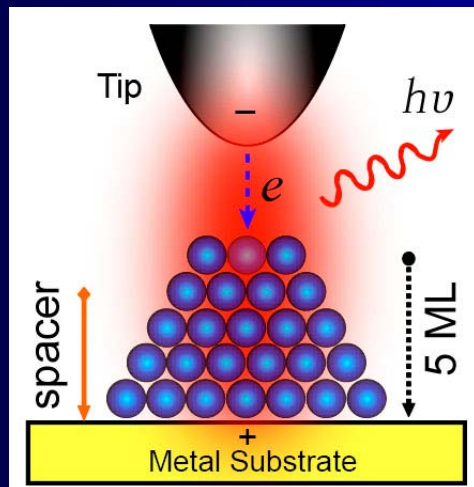


# Single Molecular Electroluminescence?

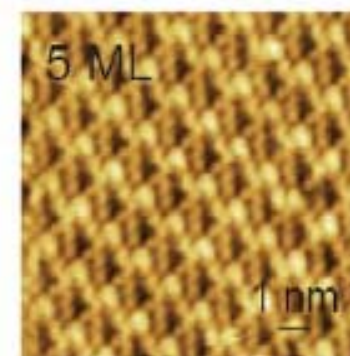
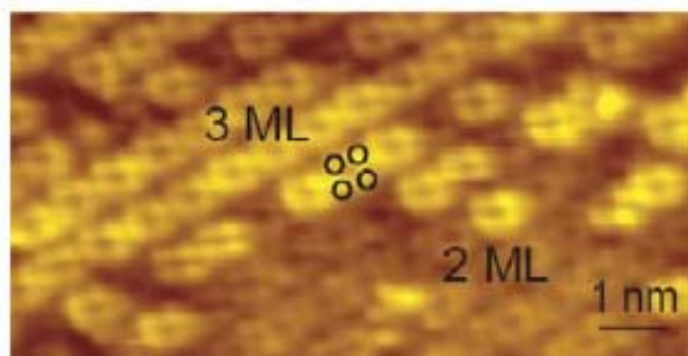
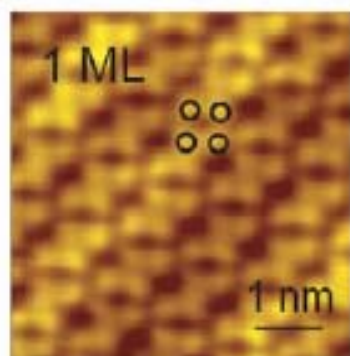
Starting from simple and easier systems: Thin Film Structures.

Example

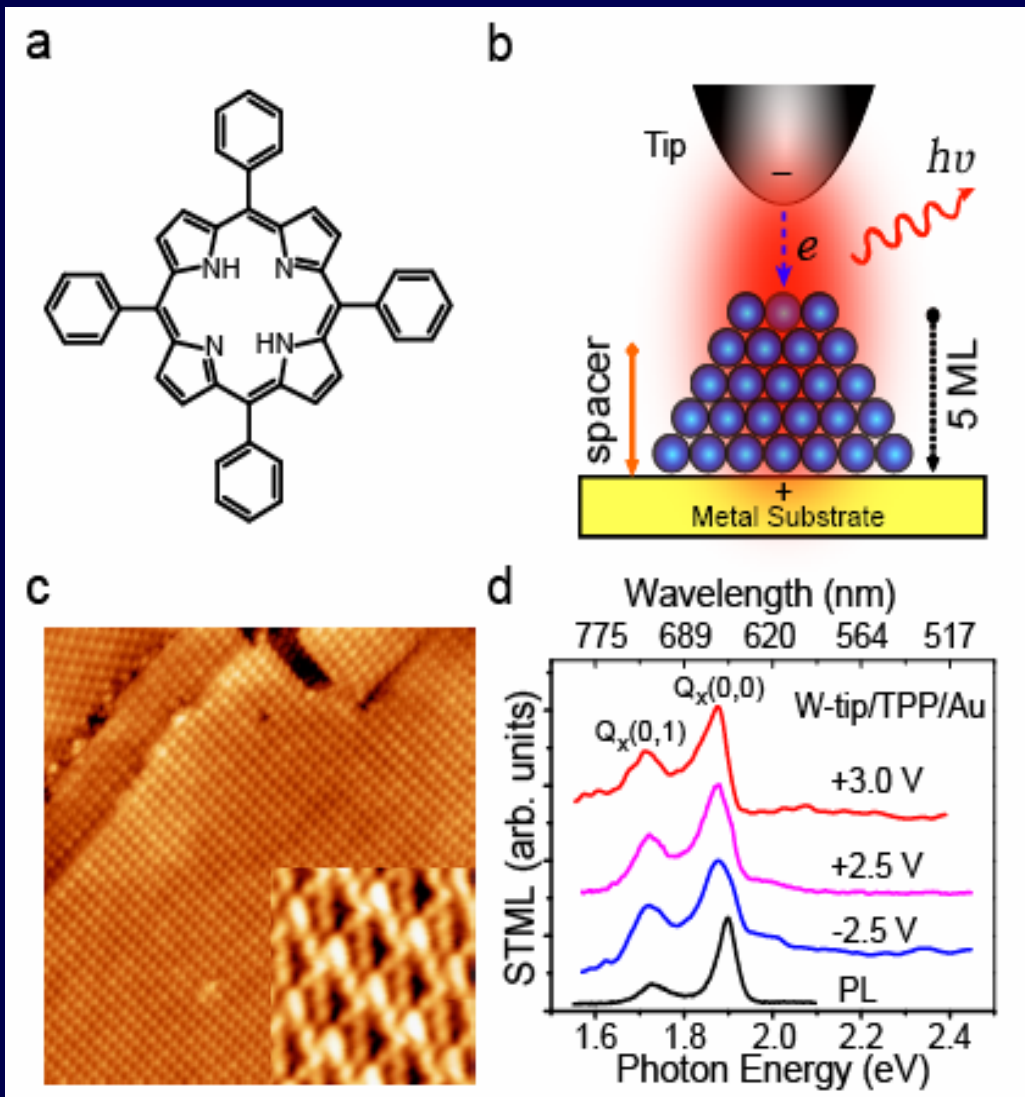
Decoupling + NCP enhancement



- Feasibility?
- Mechanism?



layer-by-layer  
Growth



## Porphyrin:

**Molecule-specific  
double Q-band EL!**

**Beating  
Fluorescence Quenching!**

**5ML TPP on Au**

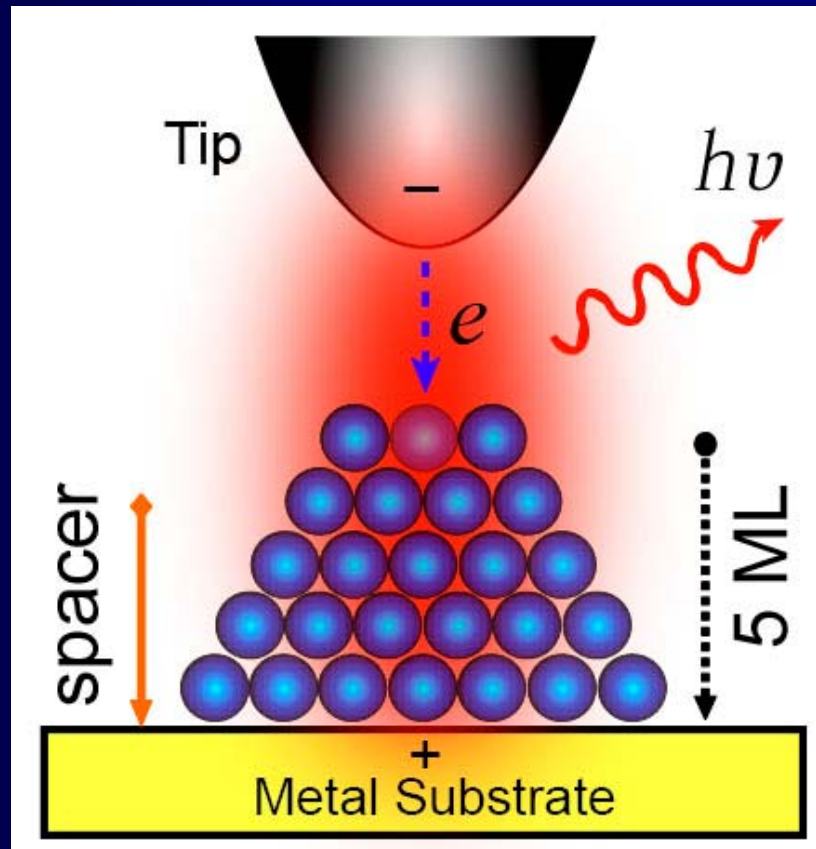
**Layer-by-layer growth  
STML acquired**

**at low current:  
200 pA**

**Multimonolayer decoupling approach**

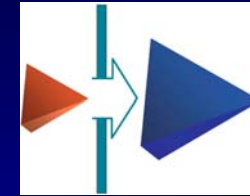
**Dong et al., Phys. Rev. Lett. 2004**

# New Optoelectronic Phenomena at the Nanoscale



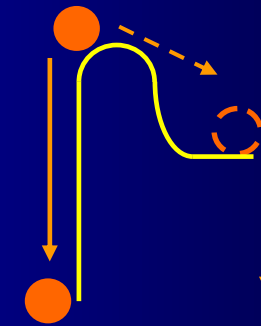
Nature Photonics, 2010

上  
转  
换



Upconversion  
Electroluminescence

热  
荧  
光



Hot-Electroluminescence

频  
谱  
调  
控



Spectral Tunability

# Three Conventional Characteristics of Molecular Photoluminescent Spectra 分子光致发光光谱的三个普遍特性 (PL)

## 1. Stokes Shifts

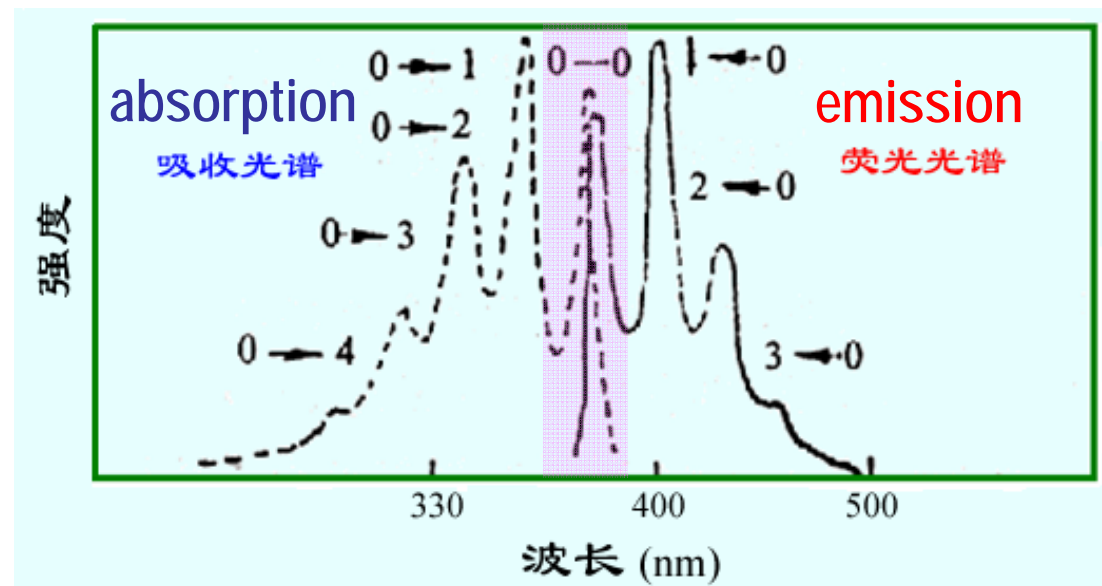
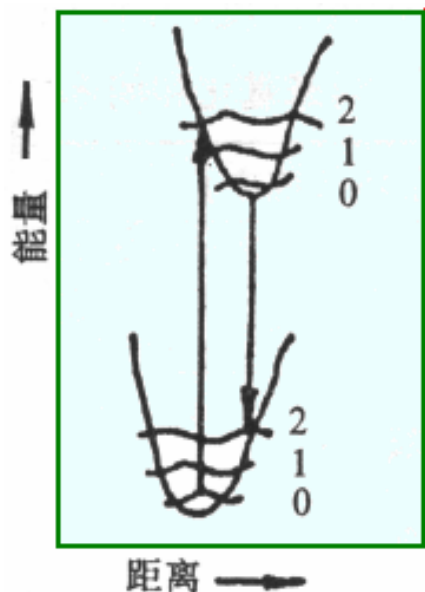
$\lambda_{em} > \lambda_{ex}$ ! Energy dissipation via vibrational relaxation,

## 2. $\lambda_{ex}$ independent emission spectra

**Kasha's rule:** Emission always occurs from the lowest vibronic level  $S_1(v=0)$ , the thermally equilibrated state, due to fast vibrational relaxation.

## 3. Mirror Symmetry

Consequence of the **Franck-Condon principle!** Similarity in the vibronic structure!



(0,0) transition:  
 $E_{min}$  for absorption  
 $E_{max}$  for emission

**Could be different for fs-laser excitation!**

# "Photonics: Forbidden light" ----- Nature China

Tuning molecular electroluminescence by resonant nanocavity plasmons

New optoelectronic effects:

Hot electroluminescence

Upconversion electroluminescence

Dramatic spectral shaping



Plasmonic light:

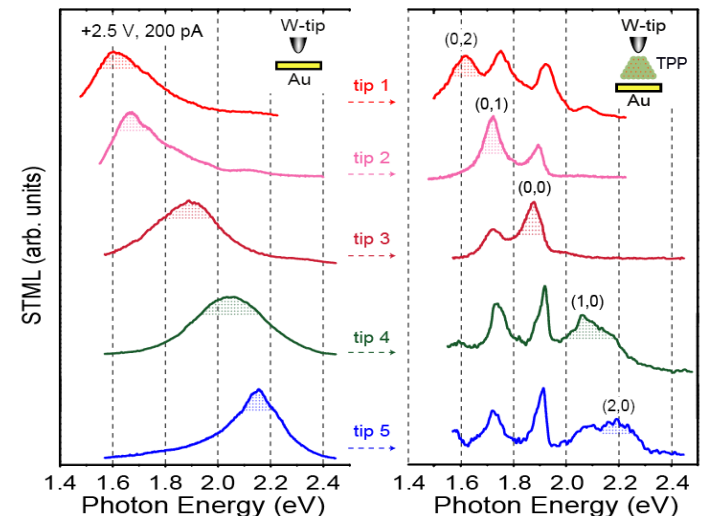
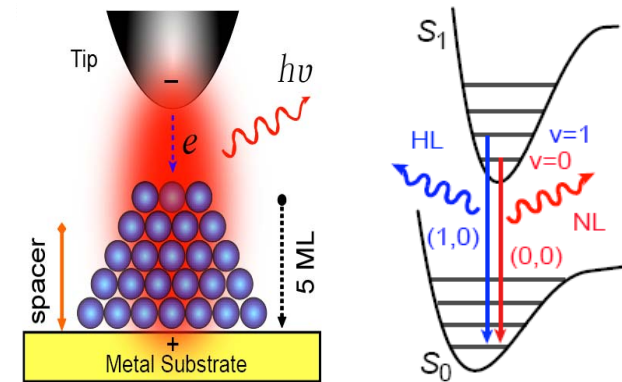
A coherent optical source!

*Nanoscale light sources?*

*Towards plasmo-electronic integration?*

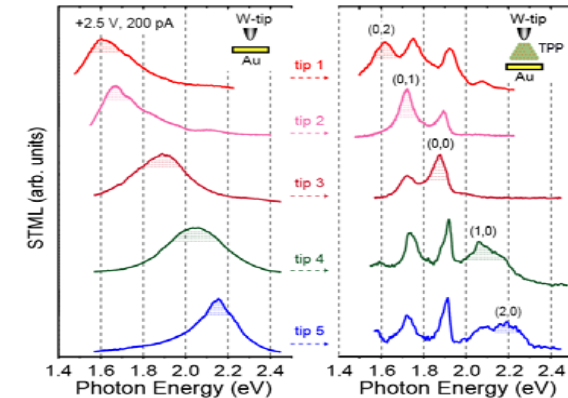
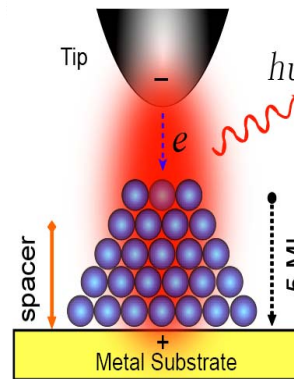
Dong et al.

Nature Photonics 4, 50-54 (2010)



# Role of Plasmons in SMEL?

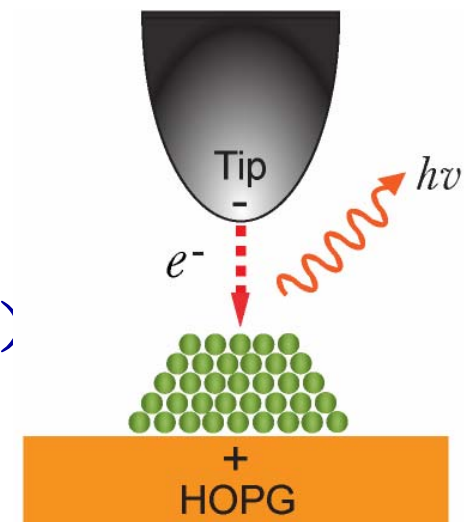
- **Metal Substrate**  
Strong NCP fields  
→ Resonant molecular EL



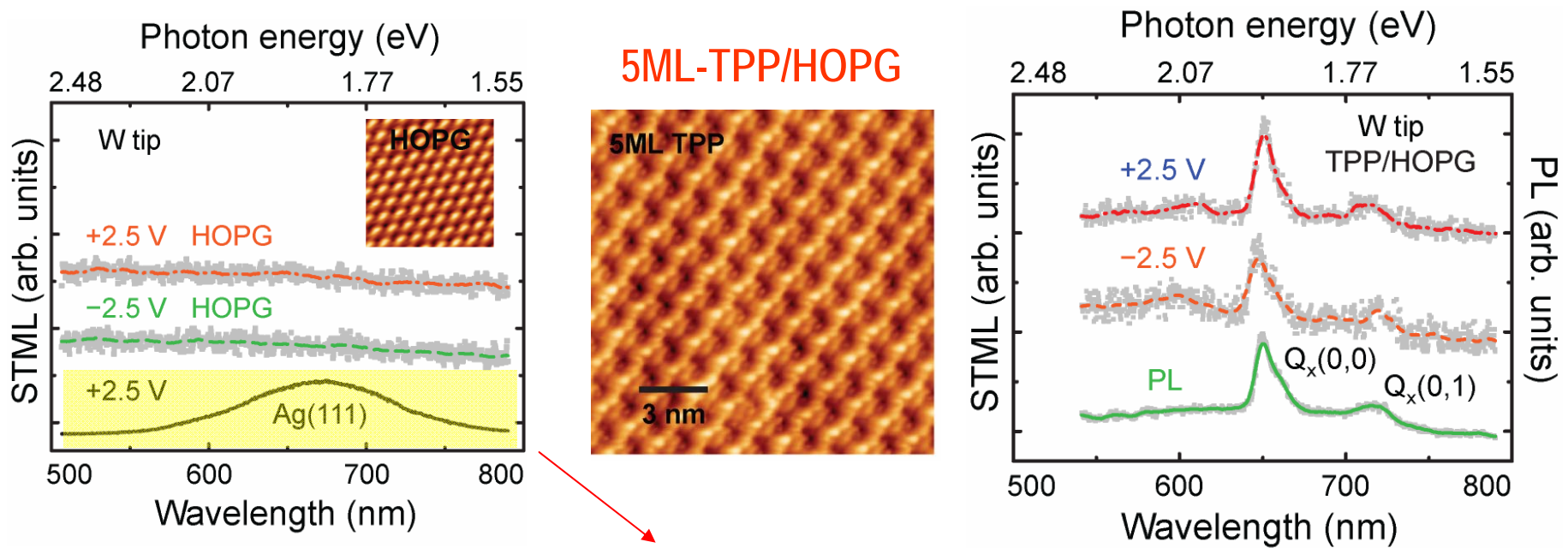
What happens when substrate is non-plasmonic?

Is molecular EL still possible?

- Half-metal Substrate, e.g., HOPG
- Semiconductor Substrate, e.g., Si (100)



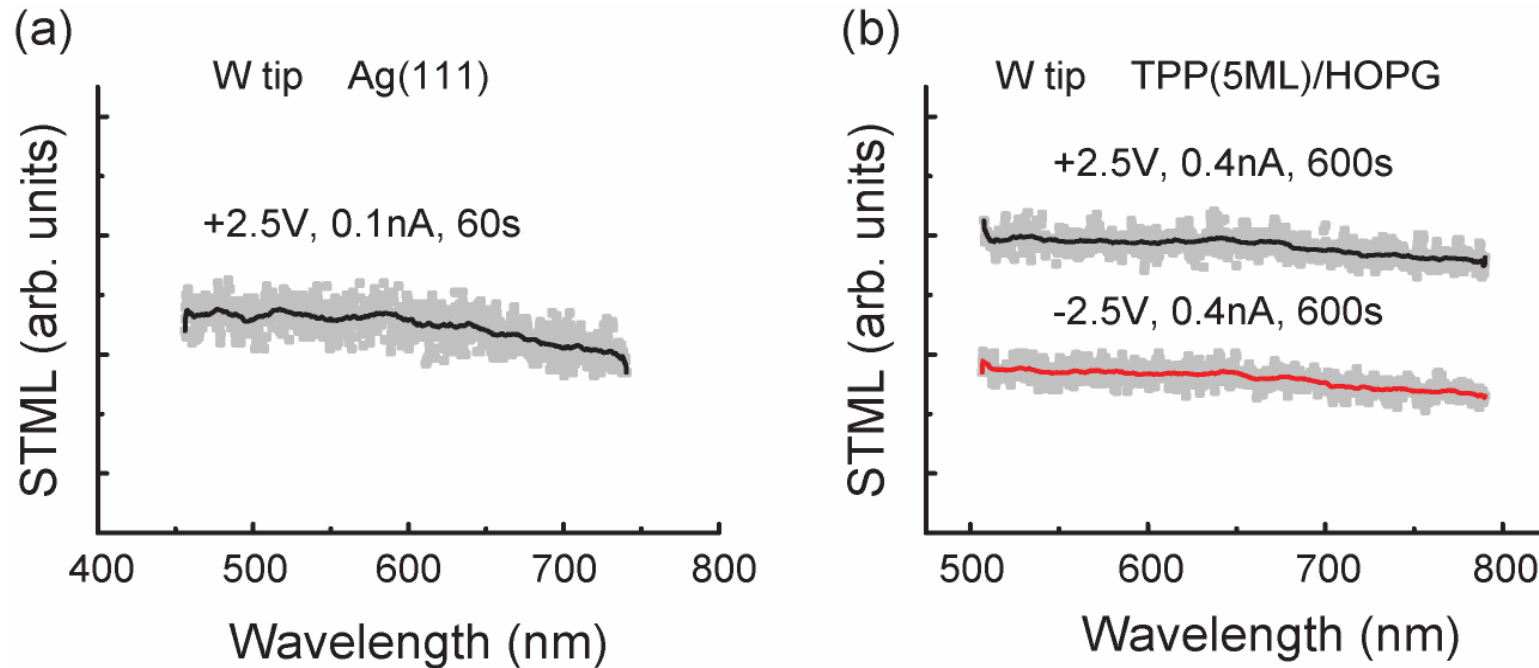
# STM Induced Molecular EL on HOPG: OK



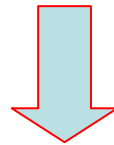
When tip is plasmonically bright!

- 半金属表面上也可以产生STM诱导的本征分子荧光、且具有振动分辨；
- 双极性运作：正负偏压下均可以实现分子发光；
- 光致和电致的激发方式虽然不同，但是分子激发态能量辐射衰退通道相同。

# STM Induced Molecular EL on HOPG



When tip is plasmonically dark,  
no molecular electroluminescence!



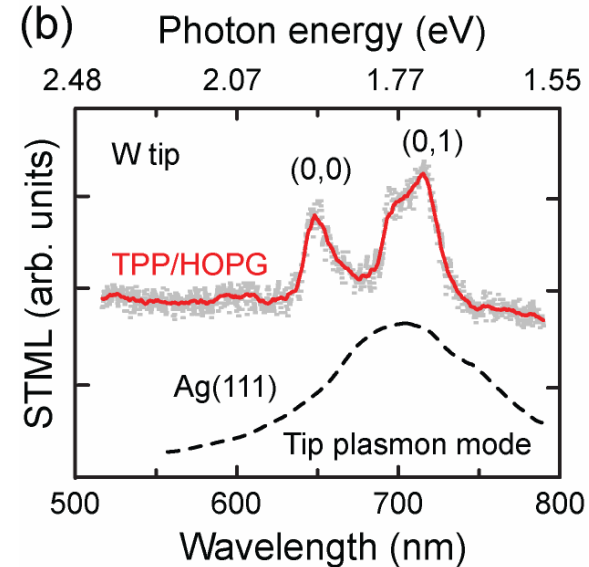
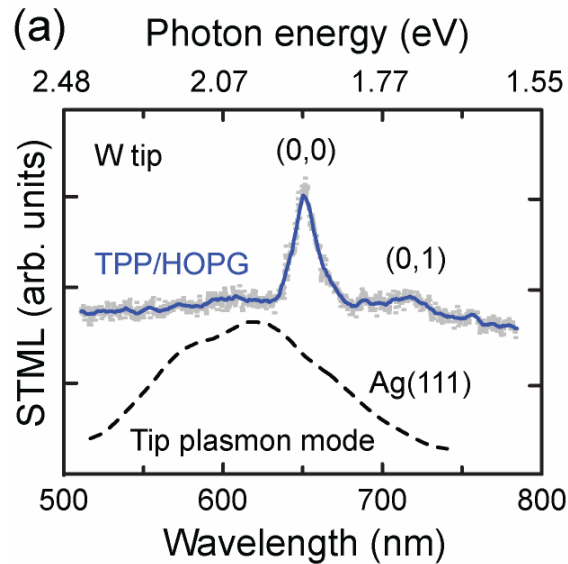
**Plasmon is crucial!**



# STM Induced Molecular EL on HOPG

Spectral shaping:

Matching of the tip-plasmon modes with molecular vibronic transitions



等离激元对于产生分子电致发光是必不可少的；

等离激元模式对于分子发光频带具有选择性调控作用；

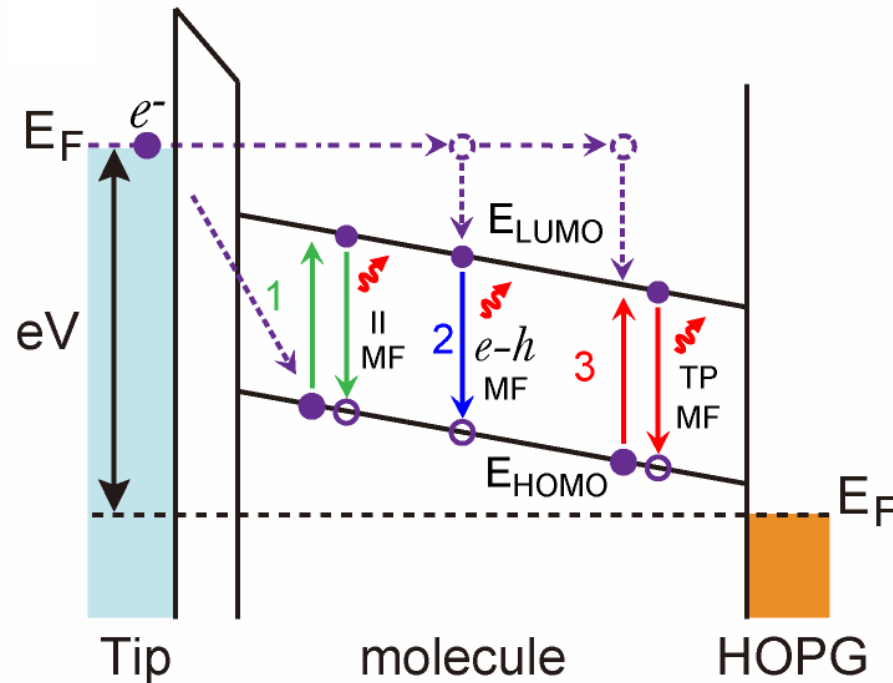
针尖等离激元本身就足以激发分子发光。

HOPG: 反射镜面？

C. Zhang *et al.*, APL in press.

# STM Induced Molecular EL on HOPG

## Mechanism

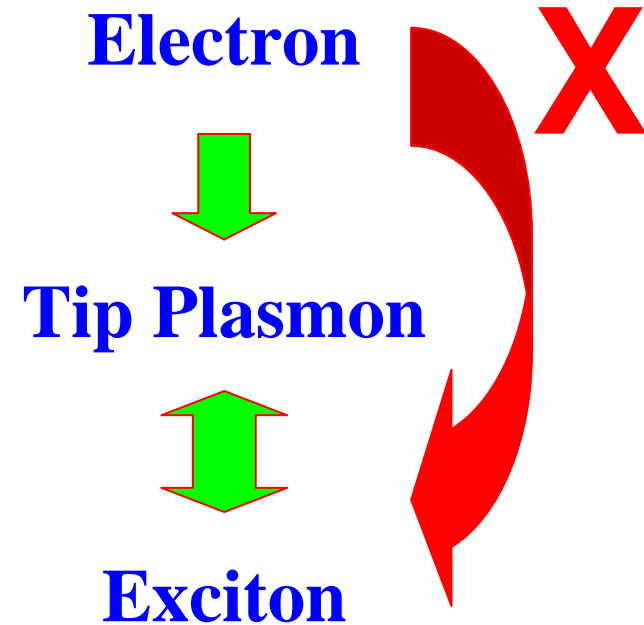
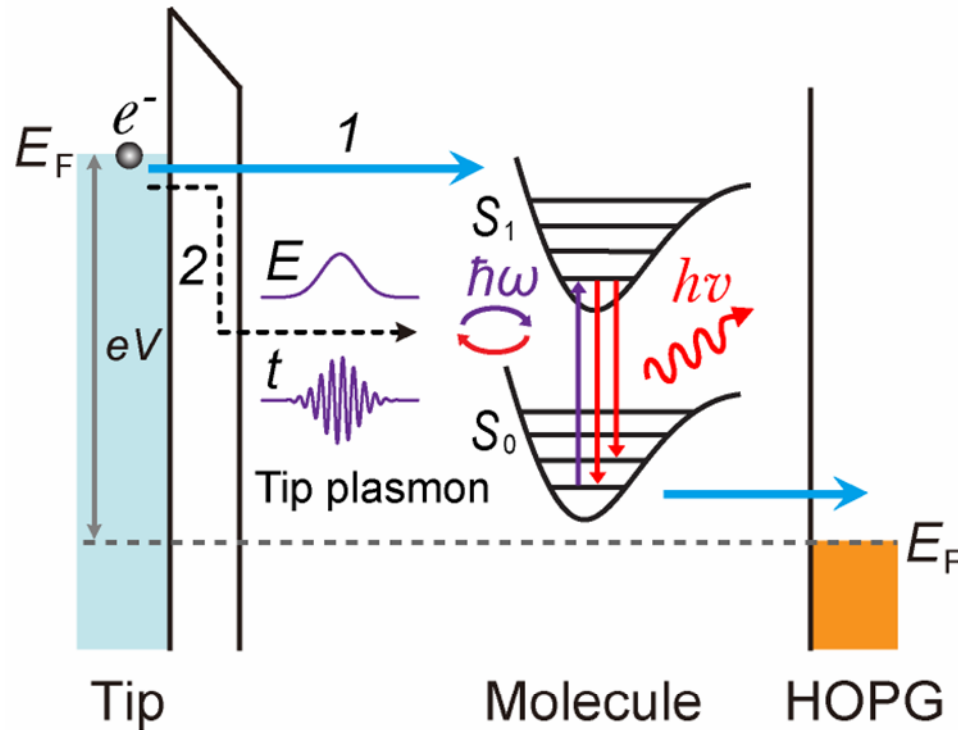


Three Possible Channels (分子发光的三种可能机制):

1. Impact Ionization (II) Mechanism);
2. Hot Electron Injection Type OLED Mechanism;
3. Tip Plasmon Mediated Fluorescence Mechanism

# STM Induced Molecular EL on HOPG

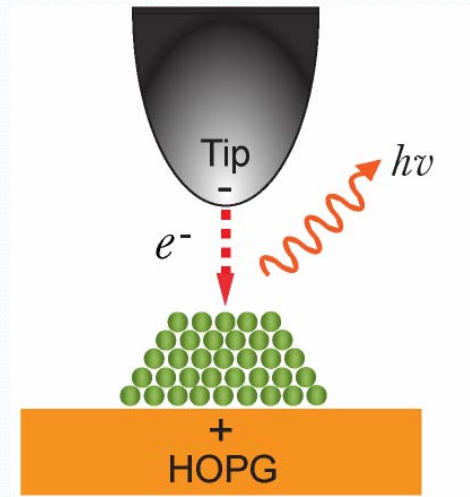
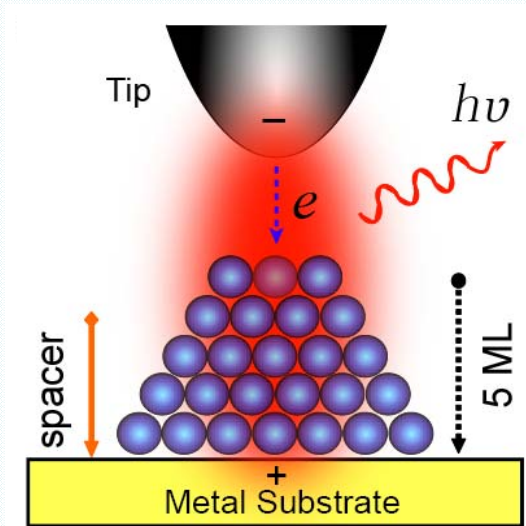
## Mechanism



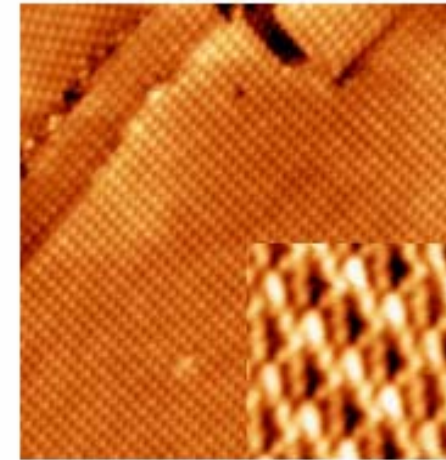
分子发光来源： Plasmon mediated molecular electroluminescence

- 探针等离激元对分子的近场激发及共振耦合,
- 电子直接激发分子不能产生分子发光： OLED注入式电子空穴直接复合发光机制不适用。

C. Zhang *et al.*, APL in press.



## Ultrathin Film



## STM Induced Molecular EL on Thin Films



Single Molecular Electroluminescence (SMEL)?  
What are crucial factors governing SMEL?

# Plasmon mediated single molecular electroluminescence (SMEL)

What are the key factors that govern the SMEL phenomenon?

# Plasmon mediated single molecular electroluminescence (SMEL)

What are the key factors that govern the SMEL phenomenon?

# Single Molecular Upconversion Electroluminescence

Plasmon-exciton coupling and amplification

# Single Molecular Upconversion Electroluminescence

Injection barrier *independent*: Indirect electron excitation  
Plasmon-exciton coupling and amplification



# Upconversion molecular electroluminescence: Mechanism

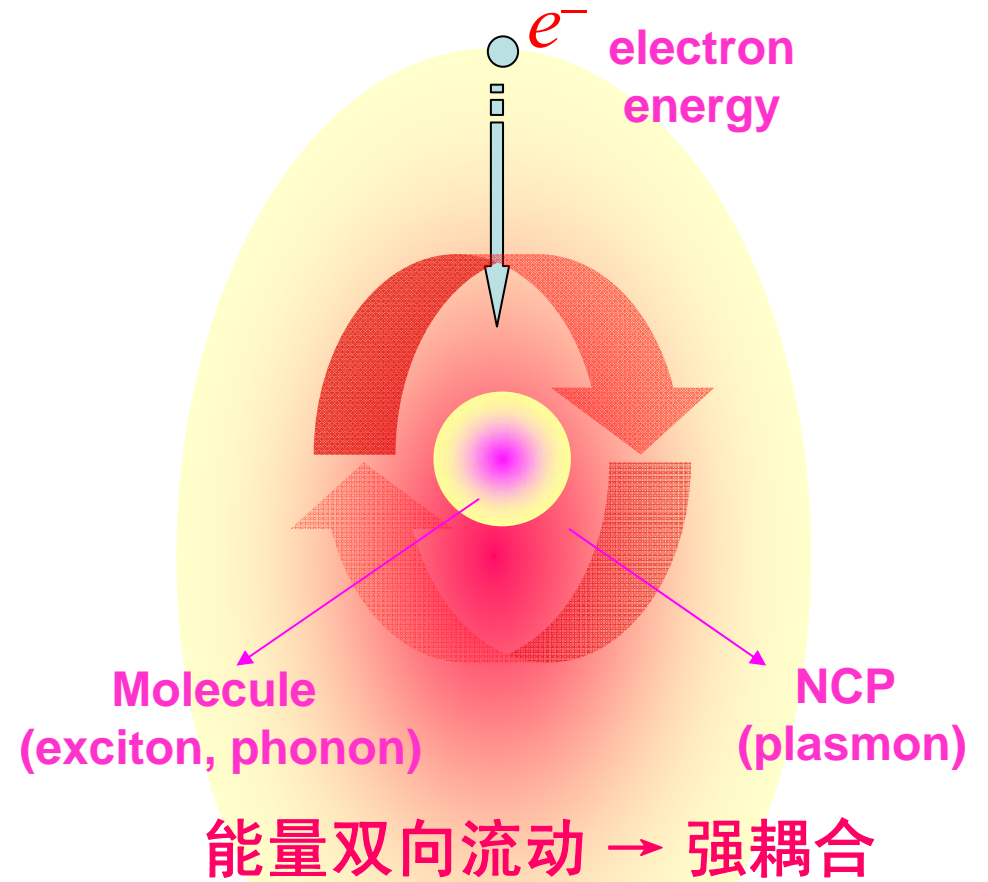
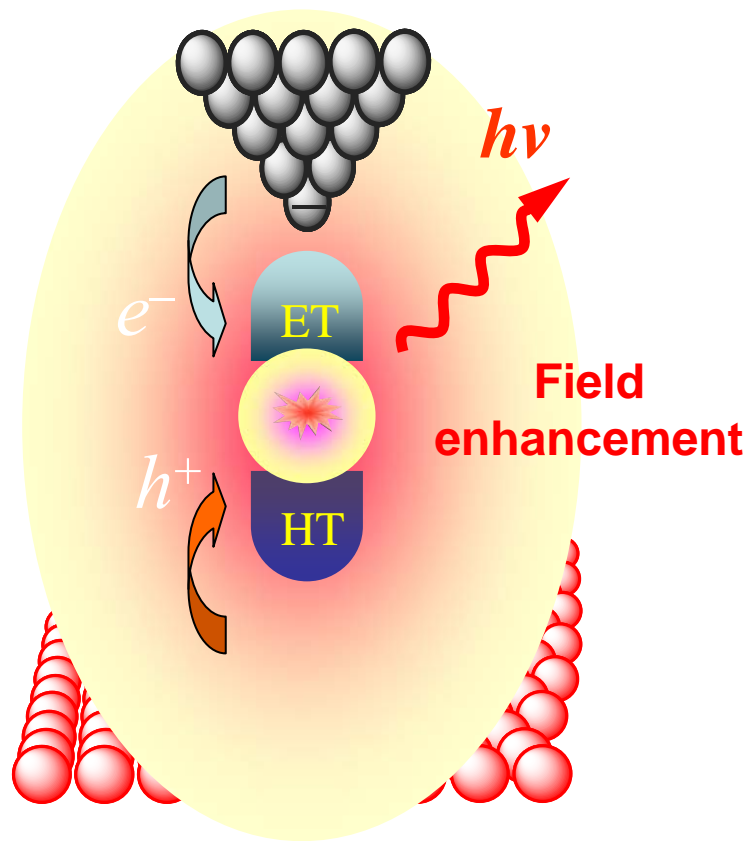
*Plasmon assisted Raman scattering (PARS)*

## 上转换分子电致发光机制

# Two key factors that govern the SMEL phenomenon

## STM诱导分子发光机制

# Summary





中国科学技术大学  
University of Science and Technology of China

Thank you very much!

谢谢!

創寰宇學府  
育天下英才

嚴濟慈  
一九八八年五月

